

021204

16310 U.S. PTO

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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	SUN04-0415
	First Inventor	Oleg Pliss
	Title	Garbage Collection with a Dynamic Window
	Express Mail Label No.	EL 978 246 584 US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: MS Patent Application Commissioner for Patents Alexandria, VA 22313-1450
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>20</u>] <small>(preferred arrangement set forth below)</small> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>2</u>] 5. Oath or Declaration [Total Pages <u>2</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</small> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies
ACCOMPANYING APPLICATION PARTS	
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(when there is an assignee) (Combined with Declaration)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified copy of Priority Document(s) (if foreign priority is claimed) 16. <input checked="" type="checkbox"/> Certified Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: Credit Card Payment Form	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____


Prior application information:

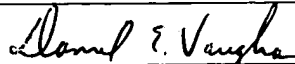
Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label				or <input type="checkbox"/> Correspondence address below	
Name Park, Vaughan & Fleming		(Insert Customer Number or Bar Code Label here)			
Address PATENT TRADEMARK OFFICE					
City		State		Zip Code	
Country		Telephone		Fax	

Name (Print/Type)	Daniel E. Vaughan	Registration No. (Attorney/Agent)	42,199
Signature		Date	February 12, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Transmittal (Application)

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**FEE TRANSMITTAL
for FY 2004**

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	February 12, 2004
First Named Inventor	Oleg Pliss
Examiner Name	
Group Art Unit	
TOTAL AMOUNT OF PAYMENT	(\$ 1,406)
Attorney Docket No.	SUN04-0415

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 50-1801
Deposit Account Name: Park, Vaughan & Fleming LLP

- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
☐ Check ☒ Credit card ☐ Money ☐ Other Order

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ 770)

2. EXTRA CLAIM FEES

			Fee from below	Fee Paid
Total Claims	34	Extra Claims -20**=	14 X 18	252
Independent Claims	7	-3**=	4 X 86	344
Multiple Dependent				

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)**(\$ 596)****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$ Code (\$

Fee Description	Fee Paid
1051 130 2051 65 Surcharge - late filing fee or oath	
1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet.	
1053 130 1053 130 Non-English specification	
1812 2520 1812 2520 Request for <i>ex parte</i> reexamination	
1804 920* 1804 920* Requesting publication of SIR prior to Examiner action	
1805 1840* 1805 1840* Requesting publication of SIR after Examiner action	
1251 110 2255 55 Extension for reply within first month	
1252 420 2252 210 Extension for reply within second month	
1253 950 2253 475 Extension for reply within third month	
1254 1480 2354 740 Extension for reply within fourth month	
1255 2010 2255 1005 Extension for reply within fifth month	
1401 330 2401 165 Notice of Appeal	
1402 330 2402 165 Filing a brief in support of an appeal	
1403 290 2403 145 Request for oral hearing	
1451 1510 1451 1510 Petition to institute a public use proceeding	
1452 110 2452 55 Petition to revive - unavoidable	
1453 1330 2453 665 Petition to revive - unintentional	
1501 1330 2501 665 Utility issue fee (or reissue)	
1502 480 2502 240 Design issue fee	
1503 640 2503 320 Plant issue fee	
1460 130 1460 130 Petitions to the Commissioner	
1807 50 1807 50 Processing fee for provisional applications	
1806 180 1806 180 Submission of Information Disclosure Stmt	
8021 40 8021 40 Recording each patent assignment per property (times number of properties)	40
1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))	
1801 770 2801 385 Request for Continued Examination (RCE)	
1802 900 1802 900 Request for expedited examination of a design application	
Other fee (specify) _____	

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)**(\$ 40)**

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Daniel E. Vaughan	Registration No. (Attorney/Agent)	42,199	Telephone	650-474-1973
Signature	<i>Daniel E. Vaughan</i>			Date	February 12, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information & authorization on PTO-2038.

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor		Oleg Pliss
	Title	Garbage Collection with a Dynamic Window	
	Attorney Docket Number		SUN04-0415

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 12, 2004

Date

Daniel E. Vaughan
Signature

Daniel E. Vaughan

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**